

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

RAYMOND A. FORSMAN,

Plaintiff,

Case No. C19-2050-RSL-MLP

V.

REPORT AND RECOMMENDATION

PORT OF SEATTLE, *et al.*

Defendants.

I. INTRODUCTION

This matter is before the Court on Defendants Port of Seattle, Ray Giometti, Delmas Whittaker, Ross Perry, and Stephan Aarons’ (“Defendants”) motion to dismiss pursuant to Federal Rule of Civil Procedure 12(b)(6). (Mot. (Dkt. # 22).) Plaintiff Raymond A. Forsman (“Plaintiff”), proceeding *pro se*, filed a response.¹ (Resp. (dkt. # 24).) Defendants did not file a reply. Having considered the parties’ submissions, the balance of the record, and the applicable law, the Court recommends that Defendants’ motion to dismiss be GRANTED, and Plaintiff’s amended complaint and this action be DISMISSED without prejudice.

¹ Plaintiff's response does not substantively address Defendants' motion to dismiss. Rather, Plaintiff takes issue with Defendants' assertion that regardless of the factual background, Plaintiff has failed to state a claim. (Resp. at 1 ("[Counsel] is actually saying 'even if some of the stuff I wrote is untrue 12 B 6 should proceed.'").)

II. BACKGROUND

Plaintiff filed four complaints in this matter. (Dkt. ## 1, 4, 25, 27.) He filed the original complaint on December 17, 2019, alleging he was denied the opportunity to work on his fishing boat at the Fishermen's Terminal with services, such as electricity, that were provided to other tenants. (Compl. (Dkt. # 1).) Plaintiff also alleged he was forced to "get rid" of a boat or have it towed away despite other trailered boats being allowed to remain. (*Id.*) Plaintiff alleges that as a result, he was unable to fish. (*Id.*) Plaintiff named as Defendants the Port of Seattle (the "Port"), Ross Perry, Ray Giometti, and Delmas Whittaker. (*Id.*) Plaintiff cited 42 U.S.C. § 1983 as the basis for his claims. (*Id.*)

Plaintiff filed an amended complaint on January 7, 2020, before Defendants filed their answer. (Am. Compl. (Dkt. # 4).) Plaintiff named the same Defendants with the addition of Stephen Aaron. (*Id.*) Plaintiff also filed a praecipe on February 18, 2020 that elaborated on the claims in his first amended complaint. (Praecipe (Dkt. # 11).) According to these pleadings, Plaintiff was (1) denied the opportunity to work on his fishing boat even though other tenants were extended this opportunity; (2) prevented from getting repair work done on his fishing boat; (3) forced to remove his skiff from the Port despite other skiffs or trailered boats being allowed to remain; and (4) subjected to moorage charges for a vessel he did not own. (Am. Compl. at 4; Praecipe at 2.) Plaintiff believes the stress resulting from these claims caused him to suffer a heart attack. Plaintiff cited the Treaty of Point Elliott, 42 U.S.C. § 1983, and 18 U.S.C. §§ 241, 242 as bases for his claims. (Am. Compl. at 5.)

Plaintiff filed a second amended complaint on August 17, 2020, after Defendants filed the instant motion to dismiss. (Dkt. # 25.) Plaintiff named only Jeremy Culumber, Defendants' counsel in this matter, and Ann Purcell, counsel for the Port, as Defendants. (*Id.*) Plaintiff alleges

1 Mr. Culumber made misleading statements in the instant motion to dismiss and cited 18 U.S.C. §
 2 1001 and “bar oath of office Art 5” as bases for his claim.² (*Id.*) Attached to the complaint are
 3 several copies of a letter sent to the Port from Plaintiff’s former attorneys dated June 27, 2019.
 4 (*Id.*) The letter appears to be notice that Plaintiff alleged Defendants discriminated against him in
 5 violation of 42 U.S.C. § 1983. (*Id.* at 6-15.)

6 Plaintiff filed a third amended complaint on September 23, 2020 naming only the Port as
 7 a Defendant. (Dkt. # 27.) This pleading also alleges Mr. Culumber made misleading statements
 8 in the instant motion to dismiss. (*Id.*) Plaintiff claimed Mr. Culumber committed perjury
 9 pursuant to 18 U.S.C. § 1621. (*Id.* at 6.) Plaintiff also cited 18 U.S.C. § 1001 as a basis for his
 10 claim. (*Id.*) Plaintiff attached to the pleading what appears to be monthly statements from the
 11 Fishermen’s Terminal, a copy of the motion to dismiss with notes that presumably denote what
 12 Plaintiff believes to be misleading statements, various email correspondence, research regarding
 13 the definition of perjury, a Port tort claim form filled out by Plaintiff on August 28, 2020, and
 14 photographs of Plaintiff’s boats. (*Id.* at 7-33.)

15 III. DISCUSSION

16 A. Amended Complaints

17 As an initial matter, the Court must determine which pleading is the operative complaint.
 18 Pursuant to Rule 15(a)(1), a party is permitted to amend its pleading once as a matter of course
 19 within specified time periods. As relevant here, Plaintiff timely filed his first amended complaint
 20 as a matter of course within the allotted time period. *See Fed. R. Civ. P. 15(a)(1)(A).* However,
 21 Plaintiff was required to obtain written consent from Defendants or seek the Court’s permission
 22

23 ² The Court notes Plaintiff also initiated an action against Mr. Culumber and the Port in which Plaintiff
 alleges Mr. Culumber made misleading statements in the motion to dismiss in this matter. *Forsman v.
 Culumber, et. al.,* C20-1266-RSL-MLP.

1 to amend his complaint a second or third time. *See Fed. R. Civ. P.* 15(a)(2). It does not appear
 2 from the record that Plaintiff did either.

3 The Court may construe Plaintiff's proposed second and third amended complaints as
 4 requests for leave to amend, particularly when a Plaintiff is *pro se*. *See Wilk v. Neven*, 956 F.3d
 5 1143, 1147 (9th Cir. 2020). Under Rule 15, the court should "freely give" leave to amend a
 6 pleading "when justice so requires." Fed. R. Civ. P. 15(a)(2). Five factors are used to assess the
 7 propriety of a motion for leave to amend: (1) bad faith, (2) undue delay, (3) prejudice to the
 8 opposing party, (4) futility of amendment, and (5) whether the party has previously amended its
 9 pleading. *Allen v. City of Beverly Hills*, 911 F.2d 367, 373 (9th Cir. 1990) (citing *Ascon Props., Inc. v. Mobil Oil Co.*, 866 F.2d 1149, 1160 (9th Cir. 1989)).

11 Here, it does not appear Plaintiff seeks to amend his complaint in bad faith. However,
 12 Plaintiff filed the proposed amended complaints after Defendants submitted their answer, after
 13 the deadline for amended pleadings, and after the instant motion to dismiss. Plaintiff has not
 14 provided a reason for the delay in his submissions. Plaintiff also previously amended his
 15 complaint. Further, Plaintiff's amended complaints raise claims that were not known to
 16 Defendants before they filed the instant motion to dismiss. Significantly, the proposed amended
 17 complaints allege Mr. Culumber made misleading statements in violation of 18 U.S.C. § 1621
 18 and 18 US Code 1001.³ Pursuant to 18 U.S.C. § 1621, whoever takes an oath to truthfully testify
 19 "before a competent tribunal ... of the United States" and "willfully and contrary to such oath
 20 states or subscribes to any material matter" which they do not believe to be true is guilty of
 21 perjury, and 18 U.S.C. § 1001 criminalizes false statements made to the federal government. To
 22 the extent Plaintiff attempts to make a claim under either of these statutes, he lacks standing to

23 ³ As noted above, Plaintiff's proposed second amended complaint also cites to "bar oath of office Art 5." It is not clear to the court what this is in reference to.

1 bring them; there is no private right of action under these criminal statutes. *See United States v.*
 2 *Friedland*, 83 F.3d 1531, 1539 (3d Cir. 1996) (“[T]he United States Attorney is responsible for
 3 the prosecution of all criminal cases within his or her district.”); *Lee v. United States Agency for*
 4 *Int’l Dev.*, 859 F.3d 74, 76 (D.C. Cir. 2017) (no private right of action under 18 U.S.C. § 1001).
 5 Thus, granting Plaintiff’s request for leave to amend his complaint to either of the proposed
 6 amended complaints would be futile.

7 Accordingly, Plaintiff’s request for leave to amend his complaint to the second or third
 8 proposed amended complaints is denied. Plaintiff’s properly submitted first amended complaint
 9 is the operative pleading. (Dkt. # 4.)

10 **B. Rule 12(b)(6)**

11 “To survive a motion to dismiss, a complaint must contain sufficient factual matter,
 12 accepted as true, to ‘state a claim to relief that is plausible on its face.’” *Ashcroft v. Iqbal*, 556
 13 U.S. 662, 678 (2009) (quoting *Bell Atl. Corp. v. Twombly*, 550 U.S. 544, 570 (2007)). “A claim
 14 has facial plausibility when the plaintiff pleads factual content that allows the court to draw the
 15 reasonable inference that the defendant is liable for the misconduct alleged.” *Id.* at 677-78. “A
 16 pleading that offers ‘labels and conclusions’ or ‘a formulaic recitation of the elements of a cause
 17 of action will not do.’ . . . Nor does a complaint suffice if it tenders ‘naked assertion[s]’ devoid
 18 of ‘further factual enhancement.’” *Id.* at 678 (quoting *Twombly*, 550 U.S. at 555, 557).

19 Generally, a district court may not consider any material beyond the pleadings in ruling
 20 on a Rule 12(b)(6) motion to dismiss. *Lee v. City of L.A.*, 250 F.3d 668, 688 (9th Cir. 2001)
 21 (citations omitted). The Ninth Circuit, however, carves out certain exceptions to this rule. For
 22 example, a court may consider “documents whose contents are alleged in the complaint and
 23 whose authenticity no party questions, but which are not physically attached to the pleading[.]”

1 *Branch v. Tunnell*, 14 F.3d 449, 454 (9th Cir. 1994), overruled on other grounds by *Galbraith v.*
 2 *Cty. of Santa Clara*, 307 F.3d 1119, 1127 (9th Cir. 2002).

3 In order to sustain a cause of action under 42 U.S.C. § 1983, a plaintiff must show (i) that
 4 he suffered a violation of rights protected by the Constitution or created by federal statute, and
 5 (ii) that the violation was proximately caused by a person acting under color of state law. *See*
 6 *Crumpton v. Gates*, 947 F.2d 1418, 1420 (9th Cir. 1991). The causation requirement of § 1983 is
 7 satisfied only if a plaintiff demonstrates that a defendant did an affirmative act, participated in
 8 another's affirmative act, or omitted to perform an act which he was legally required to do that
 9 caused the deprivation complained of. *Arnold v. IBM*, 637 F.2d 1350, 1355 (9th Cir. 1981)
 10 (citing *Johnson v. Duffy*, 588 F.2d 740, 743-44 (9th Cir. 1978)).⁴

11 C. Denial of Services

12 Plaintiff alleges he was denied the opportunity to work on his fishing boat with services,
 13 "AKA electricity," that were afforded to other tenants doing similar work. (Am. Compl. at 6.)
 14 Defendants assert Plaintiff's claim relates to his attempts to bring non-functioning boats on
 15 trailers to commercial vessel loading areas to conduct long-term repairs, and that he has been
 16 previously informed the Fishermen's Terminal is not equipped for such repair work and does not
 17 provide electrical services for repair work. (Mot. at 2.) Defendants also argue Plaintiff's claim of
 18 denial to electrical power for boat repairs does not implicate a federal right under the United
 19 States Constitution, or any other federal statute. (*Id.*)

20 Defendants' motion to dismiss presents facts that are outside the pleadings and therefore
 21 are not considered by the Court. However, the Court agrees that based on the facts contained in
 22 the amended complaint, Plaintiff has not stated an actionable federal claim. Plaintiff's claim

23 ⁴ As noted above, Plaintiff also cited the Treaty of Point Elliott and 18 U.S.C. §§ 241, 242. For the
 reasons discussed below, this treaty and federal statutes do not apply.

1 concerns access to electricity service that apparently was available to other tenants. Plaintiff's
2 general assertions that he was denied this service does not adequately allege that a constitutional
3 or federal right was violated, nor does he describe which Defendant, if any, caused any such
4 violation, except perhaps the Port. Accordingly, Plaintiff has failed to state a claim.

5 **D. Denial of Boat Repair**

6 Plaintiff also alleges he was forced to remove a skiff from the Port even though other
7 skiffs or "boats on trailers" were allowed to remain. (Praecipe at 2.) Defendants again raise facts
8 outside the amended complaint in response. (Mot. at 3.) However, Defendants also assert that
9 similar to the access to electricity claim, Plaintiff has not shown how denial of the opportunity to
10 do repair to boats in a commercial fishing terminal implicates any federal rights. (*Id.*) For the
11 reasons discussed above with regard to Plaintiff's access to electricity claim, the Court finds
12 Plaintiff has failed to state an actionable claim.

13 **E. Moorage Charges**

14 Plaintiff claims the Port subjected him to moorage charges for a vessel that he did not
15 own. (Praecipe at 2.) Defendants argue that even if the Port were improperly charging Plaintiff
16 moorage fees for boats not titled in his name, this claim also fails to state a federal cause of
17 action. (Mot. at 4.) Similar to Plaintiff's other claims, the Court finds Plaintiff's allegations that
18 he was charged improper moorage fees fails to allege a violation of a constitutional or federal
19 statutory right.

20 **F. Treaty and Statutes**

21 As noted above, Plaintiff cites to the Treaty of Point Elliott (1855), 42 U.S.C. § 1983, and
22 18 U.S.C. §§ 241, 242 in support of his claims. For the reasons discussed below, the treaty and
23 federal statutes do not provide a basis for Plaintiff's claims.

1 The Treaty of Point Elliott (1855) is a treaty between the United States government and
2 Puget Sound region tribes that established fishing rights and provides, in relevant part, “The right
3 of taking fish, at all usual and accustomed grounds and stations, is further secured to said
4 Indians, in common with all citizens of the Territory” *Washington v. Washington State*
5 *Commercial Passenger Fishing Vessel Ass ’n*, 443 U.S. 658, 661–62, 674 & n.21 (1979),
6 *modified on other grounds*, 444 U.S. 816 (1979). Here, Plaintiff has not alleged that any of the
7 named Defendants have authority over fishing activity on any grounds or stations applicable to
8 the Treaty of Point Elliott. Plaintiff’s claims also relate to access to electricity services, the
9 opportunity to repair his boat, and moorage charges from the Port, not the denial of any right to
10 fish. Further, as asserted by Defendants (Mot. at 4), even if the Treaty of Point Elliott were at
11 issue, the Ninth Circuit has found there is no basis for implying a right of action for damages
12 from a non-contracting party to a treaty. *Skokomish Indian Tribe v. U.S.*, 410 F.3d 506, 514 (9th
13 Cir. 2005). Here, Plaintiff seeks damages and has not shown Defendants were parties to the
14 Treaty of Point Elliott.

15 42 U.S.C. § 1983 “is not itself a source of substantive rights,” but merely provides “a
16 method for vindicating federal rights elsewhere conferred.” *Baker v. McCollan*, 443 U.S. 137,
17 144, n.3 (1979). The first step in any such claim is to identify the specific constitutional right
18 allegedly infringed. *Graham v. Connor*, 490 U.S. 386, 394 (1989); *Baker*, 443 U.S., at 140. As
19 discussed above, Plaintiff has not identified any constitutional or federal right that was violated
20 and therefore his claims are not actionable under § 1983.

21 Lastly, Plaintiff may not seek relief under 18 U.S.C. §§ 241 and 242 in this civil action.
22 These federal criminal statutes prohibit conspiracies to deprive another of his or her civil rights,
23 § 241, and the actual deprivation of another’s civil rights, § 242. They provide no basis for civil

1 liability. *Allen v. Gold Country Casino*, 464 F.3d 1044, 1048 (9th Cir. 2006) (citing *Aldabe v.*
 2 *Aldabe*, 616 F.2d 1089, 1092 (9th Cir. 1980)). Accordingly, the alleged violation of federal
 3 criminal statutes fails to state a claim upon which relief may be granted.

4 **G. Leave to Amend**

5 When a court dismisses a *pro se* plaintiff's complaint, the court must give the plaintiff
 6 leave to amend unless it is absolutely clear that amendment could not cure the defects in the
 7 complaint. *Lucas v. Dep't of Corr.*, 66 F.3d 245, 248 (9th Cir. 1995). However, a *pro se* plaintiff
 8 does not enjoy unlimited opportunities to amend his complaint. *See McHenry v. Renne*, 84 F.3d
 9 1172, 1174 (9th Cir. 1996) (affirming the district court's dismissal of the plaintiff's third
 10 amended complaint without leave to amend when it failed to cure the pleading deficiencies).
 11 "The district court's discretion to deny leave to amend is particularly broad where plaintiff has
 12 previously amended the complaint." *Ascon Props., Inc.*, 866 F.2d at 1160.

13 As discussed above, the Court denies Plaintiff's second and third proposed amended
 14 complaints because, among other reasons, it would be futile.⁵ However, the Court cannot say at
 15 this time that Plaintiff cannot cure the deficiencies in his amended complaint and therefore
 16 recommends Plaintiff be granted leave to amend. Should Plaintiff elect to file an amended
 17 complaint, but fail to cure the identified deficiencies, the Court will recommend dismissal
 18 without leave to further amend. Plaintiff is advised that an amended pleading operates as a
 19 *complete* substitute for an original pleading. *See Ferdik v. Bonzelet*, 963 F.2d 1258, 1262 (9th
 20 Cir. 1992). Thus, any amended complaint must clearly identify the Defendant(s), the

21
 22 ⁵ Defendants Jeremy Culumber and Ann Purcell were added as Defendants when Plaintiff filed his second
 23 proposed amended complaint. All Defendants except the Port were terminated when Plaintiff filed his
 third proposed amended complaint. Should this Report and Recommendation be adopted, the Clerk's
 office should be directed to modify the docket to reflect the Defendants in this matter are those
 Defendants named in the amended complaint (dkt. # 4).

1 constitutional or federal statutory claim(s) asserted, the specific facts that Plaintiff believes
2 support each claim, and the specific relief requested.

3 **IV. CONCLUSION**

4 For the forgoing reasons, the Court recommends the Defendants' motion to dismiss (dkt.
5 # 22) be GRANTED and this matter DISMISSED without prejudice. A proposed order
6 accompanies this Report and Recommendation.

7 Objections to this Report and Recommendation, if any, should be filed with the Clerk and
8 served upon all parties to this suit within **fourteen (14) days** of the date on which this Report and
9 Recommendation is signed. Failure to file objections within the specified time may affect your
10 right to appeal. Objections should be noted for consideration on the District Judge's motions
11 calendar for the third Friday after they are filed. Responses to objections may be filed within
12 **fourteen (14)** days after service of objections. If no timely objections are filed, the matter will be
13 ready for consideration by the District Judge on **November 5, 2020**.

14 The Clerk is directed to send copies of this order to the parties and to the Honorable
15 Robert S. Lasnik.

16 Dated this 21st day of October, 2020.

17 

18 MICHELLE L. PETERSON
19 United States Magistrate Judge
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